



**REGIONAL PROGRAMME
CLEARANCE SLIP - AUTHORIZATION TO TRANSFER REGIONAL
TRAC RESOURCES**

Transfer Method: Allocation
Ledger: ALT_DP
Atlas Budget Journal # =

Debit Accounts from RBLAC:

Fund: **04230** – Regional TRAC resources
Dept. ID: **B0812** – RBLAC/HQ/CO Support
Project: **00059875** – Umbrella Project for Management TRAC Resources
Donor: **00012** - UNDP

Credit Accounts to a Regional Project:

Fund: **04230** – Regional TRAC resources
Dept. ID: **B0511**
Atlas Project number and Title: **00092160 Equitable Human Development in LAC**

Amount : In US\$**185,000** (Fully programable)

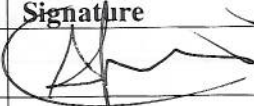
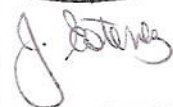
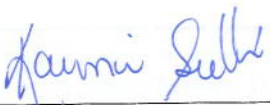

Reflect TRAC budget of \$185,000 as approved by management.

Authorized by:

Name	Signature	Date	Comments
Ms. Susan McDade RBLAC Regional Deputy Director			
Alfredo Gonzalez Programme Specialist		14 Oct. 14	
Karina Servellon RBLAC Finance Adviser			



CLEARANCE SLIP
Budget Revisions and all documents that require financial review

1.	Project Number (if applicable): 00092160 Project Title: Equitable Human Development in Latin America and the Caribbean Type of Budget Revision (initial, general, substantive, semi-final, final, etc): Initial Revision Date (on original budget revision or document): 14 October 2014		
2.	Subject: Budget Revision		
3.	Brief Description/Purpose of the budget revision(no more than two paragraphs): The purpose of the revision is to: 1. To budget a total of \$185,000 for 2014 activities of the Poverty Cluster.		
4.	Issues for director's attention (if necessary only)		
5.	Clearance	Name	Signature
	Programme Manager	Alfredo Gonzalez	
	Programme Associate (in charge of budget revision preparation and financial review)	Jacqueline Estevez	
	Finance Associate or Finance Advisor (if required)		
			14/10/2014
			14/10/2014
			15/10/2014

PLEASE NOTE THAT THE TEXT CAN BE WRITTEN IN ENGLISH OR SPANISH



Annual Work Plan

RBLAC/HQ/CO Support

Report Date: 13/10/2014

Project: 00083924

Project Title: Equitable HDR in LAC

Year: 2014

Output	Key Activities	Timeframe		Responsible Party	Planned Budget			Amount US\$
		Start	End		Fund	Donor	Budget Descr	
00092160 Equitable Human Develop in LAC	Communication & Advocacy			UNDP	UNDP	71400	Contractual Services - Individ	75,000.00
				UNDP	UNDP	74500	Miscellaneous Expenses	5,000.00
	Policy Toolkit			UNDP	UNDP	71600	Travel	15,000.00
				UNDP	UNDP	74500	Miscellaneous Expenses	10,000.00
				UNDP	UNDP	71400	Contractual Services - Individ	80,000.00
TOTAL							185,000.00	
GRAND TOTAL							185,000.00	

Project Proposal | Budgets | Attachments | Maturity

Business Unit UNDP1 UN Development Programme
Project Proposal ID 00083924 **Proposal Status** Draft

Proposal Title Equitable HDR in LAC **Approved Project:**

Description This regional project aims to continue the production and dissemination of knowledge and policy tools aimed at fostering debate and policy alternatives for a more equitable human development in the region.

Project Type RLA Regional Project LAC
Project Manager 389339 GONZALEZ REYES, Alfredo
Sponsor 00012 UNITED NATIONS DEVELOPMENT PROGRAMME
Managing Department B0812 RBLAC/HQ/CO Support
Implementing Partner 99999 UNDP

Regular Resources 185,000.00
Other Resources 0.00
Total Resources Required 185,000.00

Begin Date 01/10/2014 **End Date** 15/03/2015

Budget Periods 2

Budget Period Schedule		
Budget Period	Begin Date	End Date
1	01/10/2014	31/12/2014
2	01/01/2015	15/03/2015

31 March equal 91 documents

Output Find First 1 of 1 Last

Output ID 00092160

Output Title Equitable Human Develop in LAC

Output Details

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Project Initiation Document

Project Title:	Equitable Human Development in Latin America and the Caribbean
Expected RPD Outcome:	OUTCOME 1 (SP 1) Growth and development are inclusive and sustainable, incorporating productive capacities that create employment and livelihoods for the poor and excluded.
Expected RPD Output(s):	<u>Programme Outputs as stated in the RBLAC RPD:</u> <u>Output 1.1.</u> Regional, sub-regional and national policies have the necessary analytical perspective and strategic planning tools to promote Human Development with special emphasis on the structural factors of inequality (Regional output) <u>Output 1.2.</u> Options enabled and facilitated for inclusive and sustainable social protection (SP output 1.2) <u>Output 1.3</u> Solutions developed at national and sub-national levels for sustainable management of natural resources, biodiversity and ecosystem services
Executing Entity:	UNDP – Regional Bureau for Latin American and the Caribbean.
Implementing Agencies:	UNDP – Regional Bureau for Latin American and the Caribbean.

Brief Description

This initiative aims to provide continuity of currently ongoing activities throughout the transition process derived from UNDP's structural change, within the framework of the new LAC Regional Programme 2014-2017. At the end date of this document, a full-fledged project document will be developed in order to continue with this initiative. The overall purpose of the project 'Equitable Human Development in Latin America and the Caribbean' is to continue the production and dissemination of knowledge and policy tools aimed at fostering debate and policy alternatives for a more equitable human development in the region, previously developed within the 2008-2013 programmatic framework.

Programme Period:	<u>2014-2015</u>	Total resources required	<u>\$185,000</u>
Atlas Award:	_____	Total allocated resources:	<u>\$185,000</u>
Atlas Project ID:	_____	• RBLAC TRAC Funds	<u>\$185,000</u>
Start date:	<u>1 October 2014</u>	• Other:	
End date:	<u>31 March 2015</u>	o Donor	_____
PAC Meeting Date	<u>23 September 2014</u>	o Donor	_____
		Unfunded budget:	_____
		In-kind Contributions	_____

Agreed by UNDP: Susan McDade, Deputy Regional Director, RBLAC

Susan McDade
30-9-2014.

I. PURPOSE

By most measures, both income and non-income based, Latin America and the Caribbean (LAC) continues to be the most unequal region in the world. Equity gaps start at birth, and continue throughout the life cycle –generating inequality of opportunity, exclusion, and discrimination from childhood to old age. Inequalities are inter-connected: they are not circumscribed to the labor market, the schooling system or mechanisms of political representation. They permeate societies in ways visible and invisible. The Human Development Index for LAC drops 24.5 percent when adjusted by inequality.

Income-based inequality can be tackled through deliberate social policies and dynamic labor markets. Sixteen of 17 countries in the region for which there is comparable data have shown a reduction in income-based inequality. In some countries, inequality has come down quite rapidly and the regional average reduction reached 1% per year. Inequality has come down mainly because of three factors: improvements in labor income of the poor and vulnerable, which accounts for between 40 and 60 percent of reduction; social transfers, which accounts for between 10 and 20 percent of inequality reductions, and the demographic dividend, which accounts for up to 10 percent of reductions.

Over the past 12 years, Latin America and the Caribbean moved 60 million people out of poverty (as defined by the \$4/day poverty line) and close to 90 million moved into the regional middle classes (by the \$10 to \$50/day line). As progress on income-based poverty has accelerated, so has a demand to re-balance achievements in other dimensions of wellbeing –including calls for more inclusive social protection systems, higher-quality jobs, better time-use between men and women in the household, lower commuting time to the workplace, better citizen security on the streets, more environmentally sustainable cities, and citizen empowerment in the community, among others. The imbalance between income and non-income dimensions of wellbeing has catalyzed new social and political demands and conflict. The key lesson of this decade is that hard inequalities, exclusions and discrimination do not go away with more economic growth.

As the LAC Regional Program 2014-2017 (RP14-17) suggests, it is possible to anticipate that closing inequality gaps will be the key concern for middle income countries (33 of the 34 countries in the region) over the next 10 to 15 years. The hardest to reach poor and vulnerable groups are usually excluded from dynamic labor markets and existing social safety nets. They include working-age women and youth, indigenous peoples and afro-descendants and rural citizens throughout the region. Without specific and deliberate policies targeting their inclusion – confronting income and non-income based inequalities upfront—it will be very difficult to sustain the pace of social and economic achievement of the past decade; as economies slow-down from lower commodity prices and tighter monetary policies, it will be necessary to do more to stay the same. Economic growth is not a panacea, but as growth slows down, it is necessary to get better at translating the little growth existing to better services, more human development and more equality of opportunity.

Thus, this project will be devoted to continue RBLAC's production and dissemination of knowledge and policy tools aimed at fostering debate and policy alternatives for a more income- and non-income-related equitable human development in the region.

Resource mobilization will be a crucial component of this transitional document in order to generate a broader initiative that will include the production of a regional Human Development Report. The Report is expected to comprise specific elements for Latin America, Central America and the Caribbean. This broader initiative is also expected to include a series of key partnerships with entities such as the Spanish Agency for International Development Cooperation (AECID) and the European Union, among other.

II. EXPECTED OUTPUT

UNDP will leverage primarily its core resources and its technical and operational service infrastructure to undertake a set of activities aimed at the production and dissemination of knowledge and policy tools:

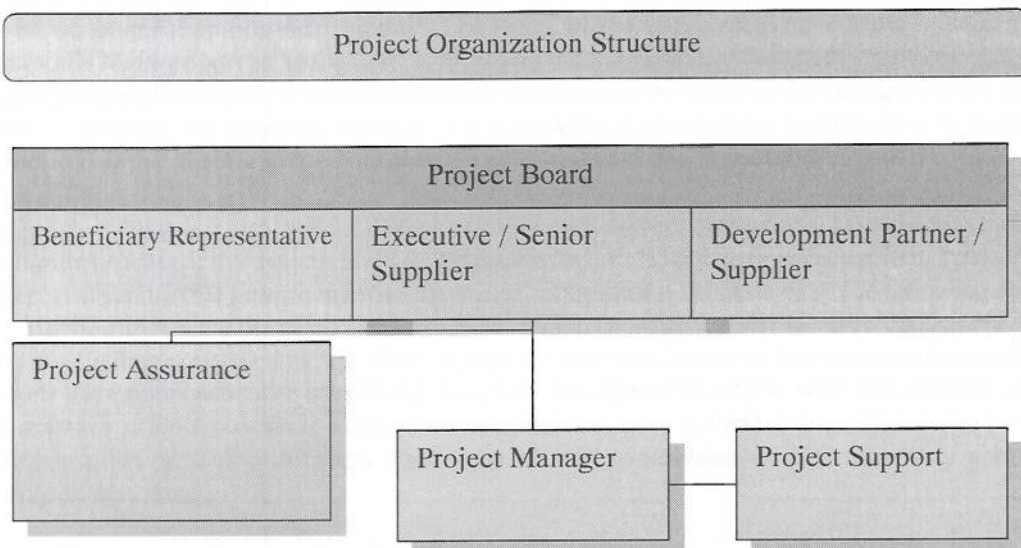
Knowledge and policy Tools

In order to achieve Outcome 1 (SP 1), the 2014 -2017 Regional Programme for Latin America and the Caribbean points as indicative interventions to foster analysis, dialogue, systematize and share best practices and knowledge to:

- a) Analytical instruments to promote fiscally sustainable universal social protection floors covering every stage of an individual's life-cycle and incorporate a gender and disadvantaged group perspective; this focus will move beyond previous work on conditional cash transfers and targeted poverty alleviation mechanisms;
- b) Methodologies/instruments and install capacities to address the multidimensional aspects of poverty, including subjective well-being, time use and gender-sensitive measures of poverty and exclusion. Situation of people living in urban and peri-urban areas also will be considered.
- c) Attention to policy and technical assistance demands on strategic planning for Middle Income Countries and SIDS closing the MDG cycle and transitioning to a Post-2015/SDG agenda in the region
- d) Interactive electronic journal to disseminate knowledge and to promote debate on core sustainable development issues.

This initiative aims to provide continuity of currently on-going activities throughout the transition process derived from UNDP's structural change, within the framework of the new LAC Regional Programme 2014-2017. The overall purpose of the project 'Equitable Human Development in Latin America and the Caribbean' is to continue the production and dissemination of knowledge and policy tools aimed at fostering debate and policy alternatives for a more equitable human development in the region, previously developed within the 2008-2013 programmatic framework.

III. MANAGEMENT ARRANGEMENTS



SUBJECT: Virtual Project Appraisal Committee to review the Project Initiation Document
"Equitable Human Development in Latin America and the Caribbean"

FROM: Alfredo Gonzalez, Programme Specialist, RBLAC, UNDP

TO: Susan McDade, Deputy Regional Director, RBLAC, UNDP

DATE: September 30, 2014



Note to the File

Minutes on the Virtual Project Appraisal Committee to review the Project Initiation Document "Equitable Human Development in Latin America and the Caribbean"

- On September 16th, 2014 a Virtual Project Appraisal Committee (virtual PAC) was constituted in order to review the project initiation document of the initiative denominated "Equitable Human Development in Latin America and the Caribbean". This initiative aims at providing continuity of currently ongoing activities throughout the transition process derived from UNDP's structural change, within the framework of the new LAC Regional Programme 2014-2017. The overall purpose of the project 'Equitable Human Development in Latin America and the Caribbean' is to continue the production and dissemination of knowledge and policy tools aimed at fostering debate and policy alternatives for a more equitable human development in the region, previously developed within the 2008-2013 programmatic framework.
- The virtual PAC was constituted by 21 members, which were selected in compliance of UNDP rules and procedures, involving specialists from other Regional Centers, BDP and BCPR advisers and CO colleagues, as well as officials from national or regional institutions, and honoring the commitments arising from OAI and the audits cards regarding the formulations and implementation of the regional programme initiatives.
- Members of the virtual PAC included the following:
 1. Roberto Valent, UNDP Resident Representative (RR) in El Salvador;
 2. Antonio Molpeceres, RR in Chile;
 3. Diego Zorrilla, RR in Ecuador;
 4. Valerie Julliard, RR in Guatemala;
 5. Rebeca Arias, RR in Peru;
 6. Lara Blanco, UNDP Deputy Resident Representative (DRR) in Barbados;
 7. Stefano Pettinato, DRR in El Salvador;
 8. Igor Garafulic, UNDP Country Director in Guatemala;
 9. Mauricio Ramirez, UNDP Deputy Country Director in Guatemala;
 10. Cynthia Valdés, Poverty National Officer, UNDP Mexico;
 11. Gustavo Arriola, Human Development Report Office, UNDP Guatemala;
 12. Freddy Justiniano, Director, a.i., UNDP Regional Center for Latin America and the Caribbean (Panama RC);
 13. Richard Barathe, Chief of Country Office Oversight & Support, Panama RC;

14. Carmen de la Cruz, Practice Coordinator, Gender, Panama RC;
 15. Pablo Ruiz, Practice Coordinator, Crisis Prevention and Recovery, Panama RC;
 16. Pedro Conceição, Chief Economist, UNDP Regional Bureau for Africa;
 17. Michael Thurman, Practice Coordinator, Crisis Prevention and Recovery, UNDP Regional Centre for Europe and the CIS;
 18. Nathalie Bouché, Poverty Practice Leader, Arab States, UNDP Regional Center in Cairo;
 19. Shantanu Mukherjee, MDG Team Leader, UNDP Bureau for Development Policy;
 20. Diego Antoni, Policy Specialist on Gender, Governance and Crises Prevention and Recovery, Gender Team, UNDP Bureau for Development Policy, and
 21. Blanca Lilia García, General Director, International Affairs, Ministry of Social Development of Mexico
- Comments from members of the virtual PAC were received from September 16 to September 24 (formal deadline was set for September 22), and may be summarized as follows:
 1. Members of virtual PAC deemed the initiative relevant and well located at the core of both Latin America and the Caribbean's present and future policy agenda and UNDP's strategic and programmatic priorities;
 2. Although the inequality-based rationale on which the proposed initiative is based was considered adequate, it was requested to be further developed to include in a more explicit manner both income- and non-income-based inequality;
 3. Mentions on gender perspective as being insufficiently incorporated in key elements of the initiative were received;
 4. It was suggested that special attention should be devoted to the way in which this initiative will be operationalized in order to server to policy decision making;
 5. According to other members of the PAC, the document needed to better specify the phases it comprises as well as its time horizon, including the corresponding further efforts in resource mobilization and management arrangements;
 6. Clarifications on the role for the current and continuing Regional Program Thematic Advisory Panel for Poverty and MDGs were requested;
 7. A comment on better specifying the role of UNDP Country Offices both as partners and as beneficiaries was also received, particularly in the case of those that have already been participating in the initiative within the 2008-2013 programmatic cycle, and
 8. The absence of a Quality Assurance Matrix was observed.
 - These comments were addressed as follows>
 - i) The document now better specifies the fact that the initiative considers both income- and non-income-based inequality;
 - ii) Gender perspective has been further specified as part of the core components of the initiative, in a transversal fashion;
 - iii) Operationalization aimed at serving policy decision making has been and will continue to be a priority of this initiative in the specific area of micro-simulations for fiscal equity;
 - iv) It has been better specified that this document is aimed at providing continuity of currently ongoing activities throughout the transition process derived from UNDP's structural change, within the framework of the new LAC Regional Programme 2014-2017, and that the overall purpose of the project 'Equitable Human Development in Latin America and the Caribbean' is to continue the

production and dissemination of knowledge and policy tools aimed at fostering debate and policy alternatives for a more equitable human development in the region, previously developed within the 2008-2013 programmatic framework. Also, it has been clarified that any further stages of this initiative beyond the first quarter of 2015 will belong to the Sustainable Development cluster located at the Panama Regional Hub;

- v) The document now clarifies that the Regional Program Thematic Advisory Panel for Poverty and MDGs is an ad-hoc entity that until today has served the same initiative within the previous 2008-2013 programmatic cycle, and that will continue to serve during the timeframe of this new initiative with the same formal attributions;
 - vi) A number of Country Offices have been added to the document in terms of both strategic advisers and continuing beneficiaries of this initiative, given the fact that they have participated in the same initiative within the previous 2008-2013 programmatic framework.
 - vii) A Quality Assurance Matrix will not be included in this document given that this document will become a full-fledged project document with a longer time horizon, once the transition derived from the structural change process has been completed.
- On September 23, a communication was sent to the members of the virtual PAC in order to notify them that the time for receiving comments had concluded, and that once these were fully incorporated into the document the project would be deemed approved by the virtual PAC and submitted for signature. No further comments or requests were received from the virtual PAC.
 - All the comments, suggestions and requests were duly addressed and included in a revised version of the project document as described above. The document was then submitted for signature of RBLAC Regional Director, thus concluding the process related to the approval of the corresponding project initiation document. A copy of the revised document is attached to these minutes and will be utilized in order to formalize the use of the corresponding financial resources according to UNDP rules and regulations.